

# **Statute for Membership of the Chinese Academy of Sciences**

(Adopted by the 6<sup>th</sup> General Assembly of the Members of the Chinese Academy of Sciences in 1992 and amended by the 7<sup>th</sup> General Assembly in 1994, the 8<sup>th</sup> General Assembly in 1996, the 9<sup>th</sup> General Assembly in 1998, the 10<sup>th</sup> General Assembly in 2000, the 12<sup>th</sup> General Assembly in 2004, the 13<sup>th</sup> General Assembly in 2006, the 14<sup>th</sup> General Assembly in 2008, the 17<sup>th</sup> General Assembly in 2014, the 20<sup>th</sup> General Assembly in 2021, and the 21<sup>st</sup> General Assembly in 2024.)

#### **Preamble**

In 1955, the Academic Divisions of the Chinese Academy of Sciences (CAS) (hereinafter referred to as "the Academic Divisions") was established upon the approval of the State Council of the People's Republic of China (hereinafter referred to as "China") and confirmed its first group of Academic Division Members. In 1992, the Statute for Membership of the Academic Divisions of the Chinese Academy of Sciences (hereinafter referred to as "the Statute") was formulated for trial implementation. In 1993, the State Council renamed "Member of the Academic Divisions of CAS" as "Member of CAS". In 1994, the Academic Divisions revised the Statute and the CAS Member system was officially established. By 2021, nine amendments had been made to the Statute. The Statute has been enacted in accordance with the Constitution and laws of China, as well as the Statute of the Chinese Academy of Sciences. The Statute is the basis and fundamental reference for the work, rules and regulations of the Academic Divisions.

# **Chapter 1: Membership**

**Article 1.** The Membership of the Chinese Academy of Sciences (hereinafter referred to as "Members") is the highest academic accolade in the field of science and technology, and a lifelong title conferred by the State.

**Article 2.** Standards and requirements for Members



Chinese citizens who abide by the Constitution and laws of the People's Republic of China, uphold patriotic values, have good moral standing and decent academic integrity, and have made systematic and innovative achievements in the field of science and technology, as well as substantial contributions to the cause of China's science and technology or the progress of human civilization are qualified to be nominated and elected as Members.

#### **Article 3.** Rights and obligations of Members

Members shall have the rights to:

- (1) Participate in Membership meetings and other academic activities organized by the Academic Divisions;
- (2) Participate in consultation and evaluation, and provide advice for the formulation of major national science and technology policies;
- (3) Nominate Chinese and foreign candidates for Membership and elect Members and Foreign Members; and
- (4) Elect and stand for election to be members of the standing leadership organs of the Academic Divisions.

Members shall fulfill the obligations to:

- (1) Promote the research and development of science and technology;
- (2) Promote the development of the scientific and technological workforce; and
- (3) Abide by the Statute and relevant codes of conduct, and uphold the reputation and honor of the Members group and the Academic Divisions.

Members aged above 80 shall not nominate or elect candidates as new Members or Foreign Members, nor shall they hold positions in the standing leadership organs of the Academic Divisions.

# **Chapter 2: Election and Exit of Members**

**Article 4.** The election of new Members is held biennially. The Presidium of the Academic Divisions (hereinafter referred to as "the Presidium") shall determine the number and allocation of new Members, according to science and technology development trends and disciplinary arrangements, and the number and allocation shall be submitted to CAS for approval.



Elections of Members shall adopt a nomination mechanism. Valid candidates for Membership shall be nominated by Members and relevant academic organizations, and then reviewed by the Presidium and approved by CAS. The Presidium has the right to set up a special nomination mechanism to meet the needs of national and disciplinary developments.

The election process comprises the peer review by external experts and the competitive election by Members entitled to vote. The list of the newly elected Members shall be reviewed by the Presidium of Academic Divisions, approved by CAS and submitted for the record in line with the relevant procedures.

Membership election shall follow the principle of impartiality and objectivity, and evaluation of candidates shall be conducted in a comprehensive, objective and scientific manner.

**Article 5.** Members can apply to waive the title of CAS Membership. The application shall become effective upon the approval of the Presidium. Under the Article of the Nationality Law of the People's Republic of China, any Member who acquires the nationality of another country shall be deemed to have automatically waived their title of CAS Membership.

Any Member, who violates the science ethics, commits misconducts that bring the Members group into disrepute, or incurs disciplinary, administrative, or criminal penalties due to violations of laws or regulations, shall be subject to appropriate disciplinary action imposed by the Academic Divisions upon the approval of CAS, depending on the level of severity of the case. Where the above-mentioned misconducts are serious or particularly serious, the Presidium shall decide either to advise the Member to waive their Membership or to rescind the Membership of the offending Member. The decision shall be confirmed by CAS and subsequently ratified at the General Assembly of CAS Members. CAS is also permitted to advise the Presidium to rescind an offending Member's CAS Membership.

# **Chapter 3: Foreign Members**

**Article 6.** Standards and requirements for Foreign Members

CAS is empowered to elect Foreign Members. Scholars and specialists of foreign nationality who hold high international academic standing and have made

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substantial contributions to science and technology cooperation and exchange between China and the rest of the world may be nominated and elected as Foreign Members of CAS (hereinafter referred to as "Foreign Members").

A Foreign Member is eligible to become a Member after having obtained Chinese citizenship, been reviewed by the Presidium, been approved by CAS, and been submitted to the State Council for the record in line with the relevant procedures.

#### **Article 7.** Rights of Foreign Members

Foreign Members shall have the rights to make suggestions about the development of science and technology in China and the work of the Academic Divisions. Foreign Members shall also have the rights to participate, upon invitation, in the academic activities, consultation and evaluation work organized by the Academic Divisions.

# **Chapter 4: Election and exit of Foreign Members**

**Article 8.** The election of Foreign Members is held biennially, in the same period new Members are co-opted. The Presidium shall determine the number of new Foreign Members, and the number shall be submitted to CAS for approval.

The election of Foreign Members shall follow the rules of nomination. The candidates for Foreign Membership shall be nominated by Members. The validity of nominated candidates shall be reviewed by the Presidium and approved by CAS. The Presidium has the right to set up a special nomination mechanism when necessary.

During the preliminary election, valid candidates shall be reviewed by Members with voting rights. The formal candidates will then be elected by members of the Presidium.

The Presidium shall organize a single-candidate election, which will require the participation of Members with voting rights. The list of newly elected Foreign Members shall be reviewed by the Presidium, approved by CAS, and submitted for the record in line with the relevant procedures.

Foreign Members can apply to waive the title of Foreign Membership. The application shall become effective upon the approval of the Presidium. Whenever a Foreign Member's misconduct compromises China's national interests or is injurious to the Academic Divisions' reputations, the Presidium has the right to rescind the Membership of the offending Foreign Member. The decision shall be confirmed by CAS.

# **Chapter 5: Academic Divisions**

Article 9. The Academic Divisions shall serve as the highest science and



technology advisory body of China and shall conduct its work under the CAS leadership.

The Academic Divisions consists of several divisions based on scientific disciplines. A Member should join one of the academic divisions according to his or her specialist research area.

The Presidium of the Academic Divisions may provide advice on the organization and adjustment of academic divisions. The advice, upon the approval of CAS, shall be voted on at the General Assembly.

#### **Article 10.** Functions of the Academic Divisions

The Academic Divisions shall have functions:

- (1) As commissioned or suggested by Members, to organize Members to provide advice on major science and technology-related issues in the area of national economic and social development, science and technology development programs, and the development strategies for various disciplines and major decisions in science and technology, and promote the formulation and implementation of policies and measures for science and technology;
- (2) As commissioned, to organize Members to provide evaluation and guidance on academic issues related to major research fields, research planning and research institutions;
- (3) To organize the election of Members and Foreign Members;
- (4) To organize and conduct academic exchanges and cooperations with both domestic and international academic institutions, and promote the development and popularization of science and technology; and
- (5) To organize and conduct activities related to science ethics and formulation of learning style.

# **Chapter 6: The General Assembly of CAS Members**

**Article 11.** The General Assembly of CAS Members is the highest organization of the Academic Divisions and shall convene biennially. The Presidium shall decide to convene the General Assembly on an ad hoc basis when there is a special need.

#### **Article 12.** Functions of the General Assembly

(1) To study and implement major national strategies and policies on science



and technology development;

- (2) To deliberate on the work reports of the standing leadership organs;
- (3) To elect members of the Presidium;
- (4) To formulate and amend the Statute;
- (5) To determine the organization and adjustment of the individual academic divisions;
- (6) To organize academic activities; and
- (7) To review and make decisions on other major issues.

# **Chapter 7: Leadership Organs**

Article 13. The Presidium is the standing leadership organ when the General Assembly is not in session. The Presidium is composed of CAS Members, including the CAS president, the vice president in charge of the Academic Divisions, the relevant CAS leader, directors of the standing committees of the individual academic divisions, directors of the ad hoc committees and representatives of Members elected by the General Assembly. The CAS president acts as the executive chairperson of the Presidium and presides over the Presidium.

Top leaders of the relevant academic organizations and government departments shall be invited as advisers to the Presidium. Advisers, upon invitation, could attend the meetings of the Presidium as non-voting delegates.

The Presidium shall set up ad hoc committees as needed. Each ad hoc committee shall be composed of members elected by the standing committees of individual academic divisions. A director and several deputy directors shall be elected by each ad hoc committee.

#### Article 14. Functions of the Presidium

The Presidium shall have functions:

- (1) To convene and preside over the General Assembly, and deliberate and decide on bills to be submitted to the General Assembly;
- (2) To provide advice on the organization and adjustment of the individual academic divisions:
- (3) To review and approve the list of members of the standing committees of the academic divisions, and that of members of the ad hoc committees;



- (4) To invite the advisers to the Presidium and appoint the secretary-general of the executive committee;
- (5) To determine the total number and allocations of new Members, review the list of valid candidates, and examine the list of newly elected Members;
- (6) To determine the total number of Foreign Members, review the list of valid candidates, conduct elections to appoint formal candidates, and examine the list of newly elected Foreign Members;
- (7) To examine and approve exit decisions of Members and Foreign Members or make relevant suggestions, and examine and approve suggestions regarding Foreign Members' transfer to Members:
- (8) To determine the establishment, adjustment and dissolution of ad hoc committees and working groups;
- (9) To formulate or authorize relevant bodies to formulate regulations regarding the work of the Academic Divisions:
- (10) To respond to and manage situations where unusual matters arise, such as a force majeure or serious emergency; and
- (11) To perform other functions as entrusted by CAS and the General Assembly.

#### **Article 15.** Executive committee of the Presidium

An executive committee shall be set up under the Presidium to implement the resolutions adopted by the General Assembly and the Presidium, to lead the work of the Academic Divisions on behalf of the Presidium. The executive committee is composed of the executive chairperson of the Presidium, CAS vice president in charge of the Academic Divisions, the relevant CAS leaders, directors of the standing committees of the academic divisions and directors of the ad hoc committees. The executive chairperson of the Presidium presides over the meetings of the executive committee.

The executive committee shall appoint a secretary-general responsible for the routine work of the Academic Divisions. The secretary-general shall be nominated by CAS, and appointed by the Presidium. The secretary-general shall attend the meetings of the Presidium and the executive committee meetings.

#### **Article 16.** Standing committees of academic divisions



A standing committee for each academic division shall be set up to help Members carry out the functions of the individual academic division. Members of each standing committee shall be elected by all Members of the individual academic divisions. A director and several deputy directors shall be elected by the members of the standing committee.

# Chapter 8: Activities of Academic Divisions and Supporting Mechanism

**Article 17.** The Academic Divisions shall organize and conduct activities including consultations, evaluations, research on disciplinary development strategies, academic exchanges, science popularization, international cooperation, and so forth.

The Academic Divisions shall manage the compiling and publishing of serial publications, including annual reports of the Academic Divisions, reports of consultations and evaluations, research on disciplinary development strategies, writings on scientific culture and science popularization, and so forth.

The Academic Divisions is permitted to establish awards in science and technology.

Funds for activities of the Academic Divisions are mainly allocated by the governmental institutions. The Academic Divisions has the right to set up foundations to receive donations from domestic and foreign organizations, and individuals.

**Article 18.** A functional department shall be set up by CAS as the operational body of the Academic Divisions. The department shall be responsible for the work of the Academic Divisions, Members and Foreign Members.

# **Chapter 9: Miscellaneous Provisions**

**Article 19.** The Statute and amendments herein shall become effective after having been reviewed and approved by CAS, and then voted on and adopted by the General Assembly. The right to interpret the Statute rests with the Presidium.

**Article 20.** Detailed rules and regulations for enforcement and relevant supporting mechanisms may be formulated and amended in accordance with the Statute, and become effective upon ratification by the Presidium or the ad hoc committees as authorized by the Presidium.